



David Tilkin is a litigation support and expert witness specialist with over 30 years of experience in the securities industry and over ten years experience in the compliance software industry. David has supervised hundreds of brokers and scores of branch managers in his tenure at both Smith Barney and Wachovia Securities. David has previously maintained a Series 63, 7, 8, 65 and 4 licenses. David's management participation included recruiting, training, sales leadership, supervision, new hire due diligence, administrative and compliance support. David additionally served on the Operating and Compliance Committees of Wachovia Securities as Regional Director.

Additionally David was the founder and CEO of Protegent, the first company to deliver automated exception reporting, auditing and sales practice review technology to the financial services industry. Protegent was sold to SunGard, Inc. in 2005 at which time David became the Global Director of Compliance Product and Services at SunGard as part of the Enterprise Solutions Group.

David has been providing litigation and expert witness services at varying degrees since 2000 when David left Wachovia Securities. The Tilkin Group has been singly involved with litigation support and providing expert witness services since 2008. The efforts of the Tilkin Group have supported both private practice attorneys litigating against the securities industry and supported the efforts of financial service firms in their defense of claims. David has testified in numerous cases around issues of suitability, supervision, raiding and sales practice. David has published numerous articles on the issues of suitability, supervision and financial services software and was recently asked to speak on the issue of financial services supervision at the Securities Law Clinic at Cornell University in Ithaca, New York. David is a graduate of Antioch College in Yellow Springs, Ohio and Brandeis University in Waltham, MA where David received an MA in Management.